



PATENT
Docket No. C 2041 PC

1614
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of DeMoragas, et al.

Serial No. 10/049,961

Examiner: Unknown

Filed: 07/19/2002

Art Unit: 1614

Title: DECORATIVE COSMETIC PREPARATIONS CONTAINING CHITOSAN
MICROCAPSULES CHARGED WITH ACTIVE INGREDIENTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on:

January 29, 2003
Date

Rose A. Stowe
Signature of certifier

Rose A. Stowe
Typed or printed name of certifier

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Transmitted herewith is an Information Disclosure Statement ("IDS") in the above-referenced application, together with an IDC form listing all references cited and a copy of each reference.

No Office Action on the above-referenced application has been received by Applicant, and therefore no fee for submitting this IDS is believed to be due. However, the Commissioner is hereby authorized to charge Deposit Account No. 50-1177 if any fee under 37 C.F.R. 1.17 is deemed necessary for the accompanying references to be considered by the Patent and Trademark Office.

Some of the references are neither in English nor cited in any accompanying English language version of a search report by another patent office, and therefore require

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a concise explanation of relevancy, as it is currently understood by the applicant, pursuant to 37 C.F.R. § 1.98(a)(3). This required explanation of relevancy is given in the specification of the above captioned application for the following references:

<u>Reference Identification</u>	<u>Described in Specification at:</u>
DE 19756452 A1	Page 11, Lines 21-23
DE 19537001 A1	Page 13, Lines 25-26 Page 14, Lines 1-4
DE 19604180 A1	Page 14, Lines 18-22 Page 15, Lines 1-2
P. Finkel, SÖFW-Journal 122, 543 (1996)	Page 7, Lines 4-6
"Kosmetische Färbemittel", Farbstoffkommission der Deutschen Forschungsgemeinschaft, Verlag Chemie, Weinheim, 1984, pgs. 81-106	Page 10, Lines 4-7

Respectfully submitted,

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January 29, 2003
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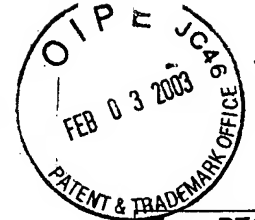
Cognis Corporation, Patent Dept.
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ARE/ras

Enclosures:

- 1) International Search Report
- 2) IDC (w/references)

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Form PTO-1449		Docket No.: C 2041 PCT/US	Serial No.: 10/049,961			
INFORMATION DISCLOSURE CITATION		Applicant: DeMoragas, et al.				
		Filing Date: 07/19/02	Group: 1614			
U.S. PATENT DOCUMENTS						
Examiner Initials*	Document Number	Date	Name	Class	Subclass	Filing Date if Approp.
	5,620,706	4/15/97	Dumitriu et al.			
	5,730,960	3/24/98	Stein et al.			
	6,193,960	2/27/01	Metzger et al.			
	5,705,169	1/6/98	Stein et al.			
	5,945,091	8/31/99	Habeck et al.			
	5,962,663	10/5/99	Wachter et al.			
	4,765,976	8/23/88	Grollier et al.			
	4,172,887	10/30/79	Vanlerberghe et al.			
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Examiner Initials*	Document Number	Date	Country	Class	Subclass	Translation Yes No
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	✓ 197 12 978 A1	10/1/98	Germany			Abstract
	198 45 246 A1	6/24/99	Germany			Abstract
	✓ 04 342729 A	11/30/92	Japan			Abstract
	✓ 62 190110 A	8/20/87	Japan			Abstract
	✓ 0 693 471 B1	1/21/98	Europe (Equiv. to US 5,730,960)			Abstract
	✓ 0 818 450 A1	1/14/98	Europe (Equiv. to US 6,193,960)			Abstract
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	2 252 840 A	8/1/75	France (Equiv. to US 4,172,887)			Abstract
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Volume-Issue No., Publisher, City where published)						
	✓ CHRISTIANSEN S: "ENCAPSULATION - CHANGING THE FACE OF COSMETICS", SOAP COSMETICS CHEMICAL SPECIALTIES, US, Mac. Nair-Dorland Co., New York, Vol. 66 No. 9, pgs. 28-30, XP000166119; ISSN: 0091-1372					
	Finkel, "Formulierung kosmetischer Sonnenschutzmittel", SÖFW-Journal, Vol. 122, (1996), pgs. 543-546 & 548					
	✓ "Kosmetische Färbemittel", Farbstoffkommission der Deutschen Forschungsgemeinschaft, Verlag Chemie, Weinheim, (1984), pgs. 81-106					
	✓ Gerhartz, et al., Ullmann's Encyclopedia of Industrial Chemistry, 5 th Ed., Vol. A6, Weinheim, Verlag Chemie, (1986), pgs. 231-332					
	✓ Gesslein et al., "Chitosan - A Gift From The Sea", HAPPI, Vol. 27, (1990), pgs. 57 & 59					
	✓ Skaugrud, "Chitosan - New Biopolymer For Cosmetics & Drugs", Drug Cosm. Ind., (May, 1991), Vol. 148, pgs. 24, 26, 30					
	✓ Onsoyen et al., "Adding Benefits to Cosmetic Formulations by Tailormade Chitosans", Seifen-Öle-Fette-Wachse, Vol. 117, (1991), pgs. 633-637					
	Sannan, et al., "Studies on Chitin, 2 nd ", Makromol. Chem., Vol. 177, (1976), pgs. 3589-3600					
	Todd, et al., "Volatile silicone fluids for cosmetic formulations", Cosmetics and Toiletries, Vol. 91, (January, 1976), pgs. 29-32					
Examiner		Date Considered				

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.